

Open Government Partnership New Zealand

National Action Plan 2018-2020

Progress report to: June 2019




Commitment 10: Monitoring the effectiveness of public body information management practices

Lead agency: Archives New Zealand (Department of Internal Affairs)

Objective: To make the management of government information more visible and therefore transparent by developing and implementing a monitoring framework that supports public reporting on the effectiveness of information management by central and local government agencies.

Ambition: New Zealanders and public agencies will be able to see the standards for management of government information and the rates of progress central and local government agencies are making towards meeting those standards.

OGP values: Transparency and accountability

Milestones		Progress
1	<p>Develop a proposed monitoring framework that reflects the Information and Records Management Standard and includes a suite of consistent and relevant measures to enable public visibility of the effectiveness of agency information management. This could include technology to enable a whole-of-system view of government information holdings and the effectiveness of its management</p> <p>Commenced July 2018 – December 2018</p>	
2	<p>Communication and engagement: the proposed framework and its potential options will be consulted on with regulated parties and other potential users</p> <p>Commenced July 2018 – July 2019</p>	
3	<p>Rolling it out. Ensuring that the implemented monitoring activity is useful for, and easily used by, the regulated agencies to improve performance and that a common view of results is available to all stakeholders (including the public)</p> <p>April 2019 – July 2020</p>	

Progress key:



some delays



underway



completed

WHAT WE HAVE BEEN DOING

Survey

- Confirmed survey questions that align to the mandatory Information and records management standard and Public Records Act 2005
- Acquired SurveyMonkey to deliver the survey
- Sent out first round of user testing starting 01.04.19 completed 19.04.19
- Sent out second round of user testing starting 20.05.19 completed 28.05.19
- Assessed feedback and made amendments to survey questions
- Sent survey invitation to Public Offices and Local Authorities and various communication to all audiences
- Rolled out survey (17 June 2019) with close off date 8 July 2019 (Milestone 3)
- Seeking data analysis expertise for survey analysis and reporting phase (July-Sept)
- Started drafting content plan for the report on survey findings and developed concept proposal for report design and layout

Audit

- Begun Design Document/Concept Brief/Business Case/Communications Plan
- Researching and setting up testing of a monitoring tool for audit
- Researching audit providers
- Continuing discussion on Maturity Frameworks

HOW WE ARE INCLUDING DIVERSE VOICES

- The survey will provide a view of information management performance across the sector and inform our audit programme. While public sector agencies serve and represent diverse communities, this programme is not directly engaging with those communities. Findings from survey and audit will be published in the Chief Archivist's Annual Report on the State of Government Recordkeeping. The findings might indicate areas of concern where recordkeeping does not support government accountability if it does not reflect diversity in the community.
- We engage with concerned members of the public if there are issues of potential breach of compliance with Public Records Act 2005. This engagement will help us to identify issues across the system.

HOW WE ARE KEEPING DIVERSE COMMUNITIES INFORMED

- Current updates on the Archives New Zealand website
- Presentations delivered to audiences from IPANZ (Institute of Public Administration New Zealand) and ALGIM (Association of Local Government Information Management).
- The report on findings will be publicly available through our website

- The raw data from the survey will be released as a dataset on the Open Data NZ platform (www.data.govt.nz)
- Through the next Chief Archivist's Annual Report on the State of Government Recordkeeping

WHAT'S NEXT?

- Analyse survey results and publish report on survey findings
- Engage with local government to test appetite for voluntary audit
- Complete investigation of monitoring tool options, and acquire a solution
- Progress audit programme design (ie confirm preferred approach)

LINKS – EVIDENCE OF PROGRESS AND MILESTONES ACHIEVED

- [Findings from Regulatory Programme news item](#)
- [Open Government Partnership page on the Archives New Zealand website](#)
- Guide to Monitoring Framework
- [Monitoring Framework page](#) on our website